

PLATFORM REGISTRATION FORM



This form is to be used by an Australian Financial Services (AFS) Licensee, a Corporate Authorised Representative (CAR) or Authorised Representative (AR) to create a Service on the Præmium Platform. This form must be accompanied by a separate User Form for each User requiring access to the Service. New Licensees must provide a Recipient Created Tax Invoice Agreement (RCTI) in order to create a Service on the Platform. Please contact our Support team on 1800 571 881 to request an RCTI Agreement or visit our website <https://www.praemium.com/au/resources/forms-documents/>

Part 1 - Registration details

Please select one of the following registration types:

AFS Licensee (e.g. Dealer Group)

AFSL No.:

AFS Licensee name:

CAR/AR

CAR/AR No.:

CAR/AR name :
(must match ASIC professional records)

Part 2 - Service details

Business name (if applicable)

ABN

Website

Contact details

Name

Phone

Email

Mobile

If you are a CAR/AR, provide details for the main contact person of your AFS Licensee

Name

Phone

Email

Mobile

Part 3 - Authorised signatories

Please leave this section blank if not required or you have provided a copy of the Service's Authorised Signatories List. An Authorised Signatories List will replace or supercede any instructions in this section.

Full name of signatory 1

Role/Title

Full name of signatory 2

Role/Title

Full name of signatory 3

Role/Title

Full name of signatory 4

Role/Title

Note: We require as a default two signatories to authorise changes, provide instructions or otherwise sign on behalf of the Service. If you prefer a different number of requisite signatories, please provide here:

Part 4 - Declarations and signatures

By signing this form, I/we declare that:

- the information provided to enable access to the Praemium Platform is true and correct and when any change(s) occur that requires my information to be updated, I/we will promptly notify Praemium;
- I/we have the appropriate Australian Financial Services (AFS) Licence, Corporate Authorised Representative or Authorised Representative appointment under the Corporations Act;
- I/we have been appointed as agent of my client/investor in accordance with the terms of the relevant PDS and have the authority to give instructions for and on behalf of my client/investor;
- unless Praemium receives prior notice to the contrary, Praemium will be entitled to rely on any instructions it receives through the Praemium Platform and assume that for any transaction effected via the Praemium Platform the Nominated Representative has the appropriate authority;
- I/we will use the Praemium Platform to enter my client/investor details and to promptly provide both initial and ongoing instructions to Praemium as agent on behalf of my client/investor;
- I/we will not knowingly or willingly do anything to put Praemium in breach of the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 rules and other subordinate instruments (AML/CTF Laws) and notify Praemium if it becomes aware of anything that would put Praemium in breach of AML/CTF Laws;
- I/we will forward any information and/or documentation as required by Praemium to my/our client/investor, act as a first point of contact for my client/investor queries and arrange password access in compliance with the applicable requirements and established procedures;
- I/we will promptly notify Praemium in writing should my/our AFSL, CAR or AR appointment be cancelled, suspended, varied or replaced;
- all Users that are registered under the Service (including any linked Service) have been approved and that Users will only use the Praemium Platform for proper purposes associated with the client/investor and to the extent permitted by their unrevoked authority;
- I/we will keep secure any Praemium and client/investor information by employing appropriate physical security measures and by using appropriate technology security systems (e.g. firewalls and antivirus, anti-spyware software) and comply with obligations under the Privacy Act 1988 with regard to any information or client/investor data or other data stored on or obtained via the Praemium Platform;
- I/we will not share any codes or passwords, not interfere with or damage (or attempt to interfere with or damage) any code, data or software associated with the Praemium Platform; and
- I/we acknowledge that any tools and resources provided by Praemium are for general information purposes and as guides only and that Praemium takes no responsibility for our use of such tools and resources.

Signature

Print name

Signature

Print name

Capacity/Title

Date

Capacity/Title

Date

Part 5 - Documentation checklist

- Completed RCTI Agreement (if not already held by Praemium)
- A completed New User Form for each user
- Organisational Structure and User Chart
- Branding files, including logo in JPEG and .PNG (transparent), branding guidelines/Internet HEX colours

Please scan and email the completed form to support@praemium.com.au OR return via post to: SMA, PO Box 322, Collins St West 8007.

This form to be used in respect of Separately Managed Accounts and Praemium SMA Superannuation Fund. Separately Managed Accounts (SMA) ARSN 114 818 530 issued by Praemium Australia Limited ("Responsible Entity") ABN 92 117 611 784 AFSL 297956. Praemium SMA Superannuation Fund (Super MA) ABN 75 703 857 864 RSE R1074352 is issued by Diversa Trustees Limited ("Trustee") ABN 49 006 421 638 AFSL 235153 RSE Licence No L0000635.