# WHOLESALE ADVICE FEE AMENDMENT FORM

præmium

Note:

This form is applicable for Wholesale Investors within the Separately Managed Accounts scheme. Please ensure that a Client Classification Form (which is used to declare on what basis a client has been classified as a Wholesale Investor) has also been completed and submitted.

All investors in the SuperSMA and all other retail clients within the Separately Managed Accounts must use the Advice Fee renewal and consent form for retail investors.

## Part 1 - Account details

Account number

Account name

Contact phone number

Email address

## Part 2 - Fee amendment details

Please mark appropriate box. I/We wish to

☐ Authorise a **one-off advice fee** of $ (up to $5,000 in aggregate per financial year)

**Note:** This will **not affect** any existing ongoing adviser service fee, dealer group service fee or research fee.

☐ Change/add **ongoing fee(s)** as instructed below (includes GST).

**Note:** This will **replace** any existing ongoing adviser service fee, dealer group service fee or research fee arrangement already in place, and must be at or below the maximum % allowable in the applicable PDS.

| Ongoing fee | Fee amount (calculated per annum and deducted monthly) |
|---|---|
| **Adviser service fee** | Percentage: % **OR** Dollar amount: $ |
| **Dealer group service fee** | Percentage: % **OR** Dollar amount: $ |
| **Research fee** | Percentage: % **OR** Dollar amount: $ |
| **Contribution fee** | Percentage: % **OR** Dollar amount: $ |

## Part 3 - Account holder declaration and signature

By signing this form, I/we:

- confirm that the fees nominated in Part 2 have been agreed between my/our financial adviser and I/us;
- direct that the amount(s) specified in Part 2 be deducted from my/our SMA account and paid to the adviser's dealer group who will then pay the agreed fees to my/our financial adviser;
- acknowledge that if I/we have specified an ongoing adviser service fee or ongoing dealer service fee or ongoing dealer research fee be paid, Praemium will continue to pay the fee until I/we request cancellation of that fee; and
- if signing under a Power of Attorney (POA) - verify that at the time of signing, the POA is valid and has not been revoked.

Signature of account holder

Date

Full name and capacity

Note: If signed under Power of Attorney (POA) please provide a certified copy of the POA when submitting.

## Part 4 - Financial adviser details and declaration

By signing this form, I declare that:

- I am authorised to sign on behalf of my dealer group and that I am authorised to provide advice in relation to the investor/member's account under an Australian Financial Services Licence.
- the fees nominated in Part 2 have been agreed between my client the investor/member and I;
- the services for which the fees specified in Part 2 are being charged are consistent with the Statement of Advice and/or the Fee Disclosure Statement that has been provided to my client the investor/member;
- I acknowledge and agree that the amounts specified in Part 2 may cease or vary at any time if requested by the investor/member.

Signature of financial adviser

Date

Full name of financial adviser

Company/Dealer group

## Part 5 - Form instruction and guidance

- This form is to amend the Advice Fees on an SMA account for wholesale investors (only) as follow:
  - authorise a one-off advice fee to be deducted from an account to paid to their financial adviser being the nominated representative on the account.
  - authorise a change to or add an ongoing adviser fee, dealer group service fee and/or research fee to be deducted from an account and pay regular amount to the financial adviser and/or dealer.
- A separate form is to be completed for each account to which the fee amendment(s) relate.
- Any change to ongoing advice fees will be applied for the next month that follows the acceptance of the form.
- If Part 3 signed under POA, a certified copy of the POA must be provided along with the completed form.
- If you are requesting a one-off fee to be applied or making an increase to ongoing fees, then both the account holder and the financial adviser must sign this form.
- Upon the acceptance of the form, both you and your financial adviser will receive confirmation to the registered email address of any change in fees.
- Scan and email the completed form to support@praemium.com.au. Alternatively, the completed form can be returned via post to: SMA, PO Box 322, Collins St West 8007

This form to be used in respect of wholesale investors within the Separately Managed Accounts
Separately Managed Accounts (SMA) ARSN 114 818 530 issued by Praemium Australia Limited ("Responsible Entity") ABN 92 117 611 784 AFSL 297956.