

Senior Risk & Compliance Officer

Jersey, Channel Islands

Permanent, Full-time

The **Senior Risk and Compliance Officer** role offers you the opportunity to combine your knowledge and experience with your passion for business compliance and policy, ensuring a robust compliance function for the Jersey office. The successful candidate will act as the CO, MLCO and MLRO for the business.

Applicants must have strong technical knowledge and practical experience working with the JFSC and on compliance issues within the finance industry, along with thorough knowledge of local regulatory legislation and requirements.

Key Responsibilities and Accountabilities

The successful candidate will:

- » Act as the registered CO, MLCO and MLRO with the Jersey Financial Services Commission ("JFSC");
- » Act as the liaison point with the JFSC and the Joint Financial Crimes Unit ("JFCU");
- » Provide ongoing maintenance of the Business Risk Assessment and Compliance/AML & CFT Policy in compliance with the IB Code and AML/CFT Codes of Practice;
- » Be responsible for the Compliance Monitoring Plan ("CMP") to test compliance with systems and controls (including policies and procedures);
- » Perform compliance monitoring in line with the CMP inclusive of independent file reviews;
- » Update and maintain the firm's Compliance Manual;
- » Act as the primary point of contact with the JFSC, JFCU and external auditors regarding compliance and AML/CFT issues;
- » Regulatory reporting for the Board, UK Head of Compliance and relevant committees;
- » Participate in relevant committees;
- » Be responsible for all compliance-related tasks;
- » For any Customer Due Diligence (CDD) alerts, review or failures, investigate and provide approval prior to the acceptance of the customer;
- » Receive and consider internal SARS in accordance with internal reporting procedures; consider if an internal SAR is to be externalised (i.e. sent to the JFCU) and document decision-making process;
- » Maintain the SAR register and all records relating to internal and external SARs;
- » Manage internal/external relationships effectively post disclosure to avoid tipping off any external parties;
- » Handle and maintain all requests for information from law enforcement authorities; Oversight of deputy MLRO;
- » Provide adequate training to relevant employees including testing understanding.

Experience, qualifications and attributes

The successful candidate will have:

- » Relevant professional qualifications;
- » Sound knowledge of Jersey Law and regulation;

Praemium International Limited

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Company registration No.107624. Regulated by the Jersey Financial Services Commission.
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- » Excellent organisational skills and attention to detail;
- » Good written and oral communication skills;
- » Strong technical knowledge with a pragmatic approach to problem solving;
- » The ability to present to groups internally and externally;
- » Able to demonstrate a strategic mind-set;
- » Manage multiple projects/events simultaneously;
- » Prepare and deliver relevant training;
- » Ability to work independently;
- » Ability to provide challenge in a positive and constructive manner;
- » Ability to communicate at senior management and board level.

About Praemium:

Established in Australia in 2001, Praemium has grown to be a market-leading provider of investment platforms, investment management, portfolio administration and CRM solutions with offices in London, Coventry, Jersey, Australia, Armenia, Dubai, Shenzhen and Hong Kong.

The world's leading financial advisers, trustees, investment managers, institutions, accountants, family offices and product providers use Praemium to manage or administer over £63 billion worth of investment globally across more than 475,000 accounts.

What we offer:

The role offers a competitive salary, 25 days holiday, healthcare, and 9% of your gross salary paid into your pension scheme. We believe what makes us unique and a very attractive organisation to work for, in addition to the financial reward and benefits, is a combination of:

- » Our organisation and our global scale;
- » Our platform, our products, our clients and our leadership;
- » The framework and initiatives we've put in place to support your personal growth and continuous learning opportunities;
- » The work we do, and we love doing!
- » Our relationship with our peers, the trust and respect we have for each other;
- » Our diversity, inclusiveness and the opportunity to bring your whole self to work.

How to Apply:

To find out more about the role or to apply, please email your CV and a cover letter to jain.herbertson@praemium.com & bianca.jacques@praemium.com.

Note that Praemium is an equal opportunities employer and does not discriminate based on race, religion, gender, age, sexuality, gender identification, or physical ability. We can only accept applications from candidates with 5 years residency on the Island. All successful candidates will be required to undertake a police check post appointment. By applying for this job you accept the terms and conditions of Praemium's Privacy Notice which can be found at praemium.com/int/